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Confidentiality/Non-Disclosure Agreements

For more information about Confidentiality/Non-Disclosure Agreements, please see the Office of Legal Affairs website:

- Confidentiality/Non-Disclosure Agreements

Conflict of Interest

Conflict of Interest is a broad topic that affects faculty, staff and students. For more information, see:

- Conflict of Interest Management Office
- Faculty Conflict of Interest Policy- Faculty Handbook 5.6. Conflict of Interest and Outside Professional Activity Policy
- Other Conflict of Interest Policies

Consulting

Consulting Agreements

For general information about Consulting Agreements and links to resources, please see the Office of Legal Affairs website:

- Consulting Agreements

Consulting/Expert Witness Services and Potential Conflicts of Interest

Last Revised: 2013-08-00T00:00:00
Review Date: 2016-08-00T00:00:00
Policy Statement:
For more information about Consulting/Expert Witness Services and Potential Conflicts of Interest, please see the Office of Legal Affairs website:

- Consulting/Expert Witness Services

Policy History:

<table>
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<tr>
<th>Revision Date</th>
<th>Author</th>
<th>Description</th>
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<tr>
<td>08-2013</td>
<td>Policy Library</td>
<td>Fixed Consulting/Expert Witness Services external link</td>
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Contracts

For information about:

- Contracting Issues - Legal Aspects
- Purchasing Contracts
- Research Contracts
- Presidential Signature Authority

Export Issues and International Travel

For information about Export Issues and International Travel, please see:

- Export Issues - Legal Aspects
- Research Support Export Control

Intellectual Property and Copyright

For information about Intellectual Property and Copyright, please see:

- Faculty Handbook 5.4 Intellectual Property Policy
- Intellectual Property Assignment Agreement
- Copyright-Legal Aspects
- Copyright-Office of Information Technology
  - Copyright Infringement Procedure
  - Copyright Fair Use Doctrine
- Patents-Legal Aspects

Minors on Campus

- Mandatory Reporting of Child Abuse Policy
- Child Abuse Prevention Policy

For additional information regarding Minors on Campus, please see the Office of Legal Affairs website here.

Open Records Act Policy

**Type of Policy:** Administrative  
**Effective Date:** 2012-09-00T00:00:00  
**Last Revised:** 2012-09-00T00:00:00  
**Review Date:** 2015-09-00T00:00:00  
**Policy Owner:** Office of Legal Affairs  
**Contact Name:** Kate Wasch  
**Contact Title:** Managing Attorney  
**Contact Email:** asklegal@gatech.edu  
**Reason for Policy:**  
As a public institution, Georgia Tech is subject to the Open Records Act, O.C.G.A. § 50-18-70 et seq. The law requires that Georgia Tech make available for public inspection public documents within three business days of receiving a request. The purpose of this policy and its procedures is to ensure compliance with the law.

**Policy Statement:**  
Georgia Tech must respond to Open Records Act requests as required by the Open Records Act, O.C.G.A. § 50-18-70 et seq. (the “ORA”). With limited exceptions, Georgia Tech must respond to such requests within three business days. In response to an ORA request, Georgia Tech will allow the requester to view public documents
and, for a fee, make copies.

The Office of Legal Affairs ("OLA") has been designated by the President of Georgia Tech as the office responsible for responding to ORA requests on behalf of the custodian of the records. Departments and school, as custodians of Georgia Tech's records, must work in cooperation with OLA to ensure Georgia Tech’s compliance with the ORA. The custodian of the records remains responsible for compliance with the ORA and for any civil or criminal penalties imposed for failure to comply.

Departments, schools, faculty or staff who receive an ORA request from any person, or an ORA inquiry from OLA, shall respond promptly, following the procedures in this policy.

Scope:
This Policy applies to all Georgia Tech departments, schools, faculty, and staff.

Policy Terms:
Public Records
All documents or other records (including video, audio, or electronic records) prepared or maintained by Georgia Tech, as well as documents prepared or maintained by its employees as part of their job responsibilities, are subject to the ORA. For example, employee notes of official University business (e.g., notes of meetings) are public, not personal, documents. The ORA includes "computer based or generated information" within the definition of a "public record." This includes, for example, e-mail and logs kept on a server.

Custodian
The person responsible for maintaining the records in the ordinary course of business.

Procedures:
See Office of Legal Affairs website: Procedures for Responding to ORA Requests

Responsibilities:
The Office of Legal Affairs
OLA has been designated by the President of the Institute as the office responsible for responding to ORA requests.

Enforcement:
Any person who knowingly and willfully fails to respond to a written ORA request may be found guilty of a misdemeanor criminal act, and fined up to $1,000 for the first violation. Additional civil and criminal penalties may also be imposed.

Violation of this Georgia Tech policy may result in disciplinary action, up to and including termination of employment.

Policy History:

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<th>Revision Date</th>
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<th>Description</th>
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<tr>
<td>04-17-2012</td>
<td>Office of Legal Affairs</td>
<td>Update per change in ORA law.</td>
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<tr>
<td>10-12-2012</td>
<td>Office of Legal Affairs</td>
<td>Established a formal written policy.</td>
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**Presidential Signature Authority**

**Type of Policy:** Administrative  
**Effective Date:** 2011-07-00T00:00:00  
**Last Revised:** 2015-11-00T00:00:00  
**Review Date:** 2016-11-00T00:00:00  
**Policy Owner:** Legal Affairs and Risk Management  
**Contact Name:** Patrick McKenna  
**Contact Title:** Vice President, Legal Affairs and Risk Management  
**Contact Email:** pat.mckenna@carnegie.gatech.edu  
**Reason for Policy:**
The Board of Regents of the University System of Georgia (BOR) has delegated authority to the president of each system institution or their designee to execute certain types of agreements. This policy is intended to describe the process by which the President of the Institute may designate other Institute officials to execute, accept or deliver those agreements and the conditions under which the officials so designated are expected to act.

**Policy Statement:**
The President of the Institute may, by written delegation, designate additional officials of the Institute to assist in executing Agreements in the name of the Georgia Institute of Technology on behalf of the Board of Regents. A delegation of signature authority by the President shall apply to the incumbent in the position named in the delegation or in any position which replaces the named position.

The individual exercising the delegated signature authority is expected to execute, accept or deliver only those Agreements that are specified in the delegation and are within the purview of the individual's position. Each such individual should act with the concurrence and approval of the senior leadership of their respective unit.

Only those individuals designated by the President may execute, accept or deliver Agreements in the name of the Institute. A delegation of signature authority may not be further delegated.

**Scope:**
This policy applies to the execution, acceptance and delivery of Agreements, including those agreements necessary for the day-to-day operation of the Institute.

This policy does not apply to Purchasing Agreements which should be reviewed, approved and executed by Georgia Tech Purchasing.

**Definitions:**

**Agreements**
Those agreements described in the BOR policies (see Related Information below). The term includes any document entered into on behalf of the Institute in which the parties make legally enforceable commitments, whether or not titled a contract or agreement. Terms used to describe an Agreement may include letter of agreement, letter of intent, memorandum of understanding, consortium agreement, operating agreement, or equipment loan.

**Purchasing Agreements**
Agreements for the purchase of supplies, materials equipment and certain contractual services of $10,000 or more. Authority to commit Institute funds for these purposes has been delegated to Georgia Tech Purchasing within the limits established by the State Department of Administrative Services.

**Procedures:**

**Delegation of Authority Memorandum**
The President of the Institute may periodically issue a memorandum to confirm the conditions under which other officials of the Institute have been authorized to act in the place of the President. A Delegation of Authority Memorandum will supersede and replace all prior delegations.

**Legal Affairs Review**
A delegation of signature authority shall, unless otherwise specified, extend
Procedures:

only to standard form agreements that have been developed by the Office of Legal Affairs or to specific agreements that have been reviewed by the Office of Legal Affairs.

Responsibilities:
Office of Legal Affairs. The Office of Legal Affairs (asklegal@gatech.edu) will assist in determining who is authorized to sign a specific Agreement.

Enforcement:
Violation of this policy may result in disciplinary action up to and including termination of employment. Under Georgia state law, individuals who sign without authority may incur personal liability for any contracts they sign.

Policy History:

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<th>Revision Date</th>
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<tr>
<td>07-18-2011</td>
<td>Legal Affairs &amp; Risk Management</td>
<td>New Institute Policy</td>
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<tr>
<td>09-25-2012</td>
<td>Legal Affairs &amp; Risk Management</td>
<td>Policy statement edited to limit scope to Presidential signature authority</td>
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<tr>
<td>11-23-2015</td>
<td>Legal Affairs &amp; Risk Management</td>
<td>Updated policy</td>
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Software Licenses

For information about Software Licensing, please see:

- Software Licensing and Administration
- Software Licenses - Legal Aspects